



Ameriprise Financial Services, Inc. 70100 Ameriprise Financial Center Minneapolis, MN 55474

# **Change Distribution Election**



Account Number	
	133

### **Client and Account Information**

Client or Trustee First Name	MI Last Name
Client ID SSN/TIN	
Additional Client or Trustee First Name	MI Last Name
Client ID	
Entity or Trust Name	
Client ID	
Street Address	
City	State Zip Code
Ownership Type:	
First Product Name:	
Second Product Name:	
Third Product Name:	

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F246 Page 1 of 4 Z (03/19)



## **Distribution Election Changes**

Select desired election:
Cash Election - Remove from Distribution Reinvestment Plan (DRIP)
I/We elect to have Ameriprise change my/our non-traded BDC, non-traded REIT, or non-traded CEF Account for the product(s) listed above for future distributions from DRIP to cash. In the event my/our non-traded BDC, non-traded REIT or non-traded CEF trades on a national exchange, liquidates the portfolio, or is acquired/merged with another entity or any combination of these events, these events are referred to as a special distribution. I/We understand that the cash distribution or any form of special distributions will be placed in to the Ameriprise account.
To systematically transfer funds out of your Ameriprise® account, complete the Scheduled Distribution - Money Out Recurring Transfer Application (402202).
ORIP Election - Enrollment in Distribution Reinvestment Plan (DRIP) for Non-Traded BDCs and Non-Traded REITs
I/We elect to begin reinvesting into my/our non-traded BDC and/or non-traded REIT investment.
Investors who purchase shares net of commission are not permitted to enroll in the DRIP when the price per share under the plan is higher than the price per share available to such investors.
Was this request recommended by your advisor?
○ Yes
○ No
Select all that apply:
I/We have discussed all of my/our financial changes as applicable since my/our initial investment into this non-traded BDC and/or non-traded REIT investment.  I/We have provided all of my/our eligibility criteria below in the Financial and Suitability Criteria section.
ORIP Election - Enrollment in Distribution Reinvestment Plan (DRIP) for Non-Traded CEFs
I/We elect to begin reinvesting into my non-traded CEF investment.
Investors who purchase shares net of commission are not permitted to enroll in the DRIP when the price per share under the plan is higher than the price per share available to such investors.
Financial and Suitability Criteria
Because there is no public/secondary market for the Shares/Units, the price at which Shares/Units are purchased in the DRIP does not necessarily represent a "Fair Market Value" for the Shares and does not necessarily represent the price the purchaser would receive if they tried to sell their Shares. Non-traded BDC and non-traded REIT products are long-term illiquid investments and are suited for clients with long-term investment goals.
Have you discussed these considerations with your advisor?
○ Yes
○ No
Do you acknowledge these reinvestments include a concentration consideration to suitability?
○ Yes
○ No
Client Age

F246 Page 2 of 4 Z (03/19) 6





Total non-traded REIT/BDC holdings (including those held away from Ameriprise):
Client's Net Worth (excluding primary residence, home furnishings and automobiles)
Cumulative non-traded REIT/BDC concentration based on Net Worth (excluding primary residence, home furnishings, and automobiles)
Provide an explanation citing particular facts and circumstances that make this reinvestment suitable if:  1. the client is 70 years of age or older, or  2. the client's cumulative non-traded REIT/BDC holdings exceed 10% of the client's net worth
If 1. and 2. do not apply, this section is optional.

#### **Authorization and Acknowledgments**

If making an election for enrollment in DRIP:

I/We have reviewed all of the following with my/our advisor:

- I/We attest to the following: I/We hereby request that my/our account be enrolled in the Distribution Reinvestment Plan (DRIP) for the investment named directly above (my/our own account).
- For non-traded BDCs and non-traded REITs, I/we have (i) a minimum net worth (not including primary residence, home furnishings and personal automobiles) of at least \$250,000, or (ii) a minimum net worth (as previously described) of at least \$70,000 and a minimum annual gross income of at least \$70,000, or that I/we meet the higher suitability requirements imposed by my/our state of primary residence as set forth in the Prospectus under "SUITABILITY STANDARDS." Ameriprise Financial Services, Inc. may have additional net worth requirements.
- I/We acknowledge there is no public market for the Shares and, thus, my/our investment in Shares is not liquid. This authorization will remain in effect until revoked in writing, as per the terms of the DRIP. In the event the DRIP is cancelled by the non-traded BDC, nontraded REIT or non-traded CEF, I/we elect to have my/our cash distribution or any special distribution, as defined in the Distribution Election Changes section, going forward to be deposited to my/our Ameriprise account.
- I/We agree that if I/we experience a material adverse change in my/our financial condition or can no longer attest to the statements in the bullets set forth above, I/we will promptly notify my/our financial advisor in writing.

If making a Cash or DRIP election:

• I/We understand that this election must be made 60 days before cash distribution is due to be paid in order to be effective for next distribution and all distributions will be deposited to my/our Ameriprise account.

#### Information Provided to Sponsor

I/We authorize Ameriprise to provide notice to the non-traded BDC, non-traded REIT or non-traded CEF sponsor and process on your behalf any administrative change(s), such as changes to my/our distribution elections, contact information in my/our shareholder record, or any other clerical corrections that I/we request in writing. I/We understand and acknowledge that I/we are responsible for providing accurate information when making such requests. I/We understand and acknowledge that Ameriprise is solely responsible for promptly and accurately transmitting your written requests for administrative changes to the non-traded BDC, non-traded REIT or non-traded CEF sponsor. I/We understand that in the event I/we have questions regarding any such request, I/we should contact Ameriprise.

#### Consent to Special Distribution deposited to Ameriprise Account

In the event my/our non-traded BDC, non-traded REIT, or non-traded CEF trades on a national exchange, liquidates the portfolio or is acquired/ merged with another entity or any combination of these events (these events are referred to as a special distribution ("Special Distribution"), I/we have authorized Ameriprise to deposit your newly listed BDC, REIT, or CEF shares or cash proceeds or any special distribution (or any combination of these) into my/our Ameriprise account. I/We may withdraw my/our shares, cash or special distributions, or redeposit them into another brokerage account at any time upon written notice to Ameriprise.

F246 Page 3 of 4 Z (03/19)



## **Required Signatures**

Client or Trustee First Name	MI Last Name	
Client or Trustee Signature		Date (MMDDYYYY)
Additional Client or Trustee First Name	MI Last Name	
Additional Client or Trustee Signature		Date (MMDDYYYY)
Advisor Name		Advisor ID
Advisor Signature		Date (MMDDYYYY)

F246 Page 4 of 4 Z (03/19) 6

